

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 2

Application Number

10/726222

Filing Date

December 2, 2003

First Named Inventor

Henson, Gordon D.

Art Unit

2875

Examiner Name

Alavi, Ali

Attorney Case Number

58130US004

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
<input checked="" type="checkbox"/>	A1	US- 2002/0126479 A1	09-12-2002	Zhai et al	
	A2	US- 2003/0052594	3/20/03	Matsui et al.	
	A3	US- 2003/0091277 A1	05-15-2003	Mei	
	A4	US- 4,544,259	10-01-1985	Kanaoka et al	
<input checked="" type="checkbox"/>	A5	US- 6,901,090 B1	05-31-2005	Ohtsuki	
	A6	US-			

**Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation... (Check if yes)
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<input checked="" type="checkbox"/>	B1	DE	10025563 A1	12/06/01			
	B2	EP	0 181 193 A	05-14-1986			
	B3	EP	1 241 869 A	09-18-2002			
	B4	EP	0338641 A1	10/25/89			
	B5	EP	0588040 A2	3/23/94			
	B6	EP	0889495 A1	1/07/99			
	B7	FR	FR 2662896	12/06/91			X
	B8	JP	Patent Abstract of Japan 08008463	1/12/96			English Abstract
	B9	JP	Patent Abstract of Japan 10256694	9/25/98			English Abstract
	B10	JP	Patent Abstract of Japan 02189803	7/25/90			English Abstract
	B11	JP	Patent Abstract of Japan 11284233	10/15/99			English Abstract
	B12	WO	WO 99/41785	8/19/99			English Abstract
	B13	WO	01/20398 A	03-22-2001			Believed to be equivalent to US 6,901,090
<input checked="" type="checkbox"/>	B14	WO	WO 03/023857 A2	3/20/03			English Abstract

\*Examiner:

Ali Alavi

Date Considered:

6/21/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	<b>Examiner Name</b>	Alavi, Ali
	<b>Attorney Case Number</b>	58130US004

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		Ctry. Code	Number-KindCode (if known)				
<i>AW</i>	B15	WO	WO 2004/004017 A2	1/08/04			English Abstract
<i>AW</i>	B16	WO	WO 2005/062382 A2	7/07/05			

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
<i>AW</i>	C1	"Solid-State Laser/Fiber Optic Expose Machine," IBM Technical Disclosure Bulletin, IBM Corp. vol. 30, no. 10, New York, 03-01-1988, 249-250	
	C2		

<b>*Examiner:</b> <i>Ali Alavi</i>	<b>Date Considered:</b> <i>6/21/06</i>
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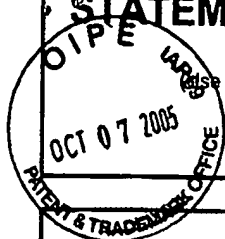
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Application Number	10/726222
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First Named Inventor	Henson, Gordon D.
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Examiner Name	Alavi, Ali
Attorney Case Number	58130US004

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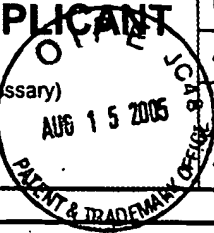
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\*Examiner: Ali AlaviDate Considered: 6/21/06

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	Examiner Name	Unknown
	Attorney Case Number	58130US004



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	A3	US- 6,045,240	04/04/2000	Hochstein	
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	B3	DE	101 10 835 A1	09/19/2002			Abstract only
	B4	DE	101 34 381 A1	01/23/2003			Abstract only
	B5	DE	101 62 404 A1	07/03/2003			Abstract only
	B6	EP	1 067 332 A2	01/10/2001			Abstract only
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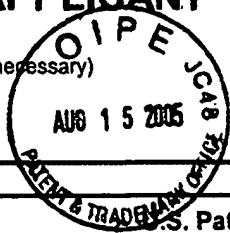
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	C2		
	C3		

*Examiner: <u>Ali Alavi</u>	Date Considered: <u>6/19/06</u>
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First Named Inventor	Henson, Gordon D.
Art Unit	2828
Examiner Name	Unknown
Attorney Case Number	58130US004

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		Doc. Number (Kind Code if Known)			
<input checked="" type="checkbox"/>	A1	US- 6,556,734	4/29/2003	Bischel et al.	
	A2	US- 6,692,250	2/17/04	Decaudin et al.	
	A3	US- 5,301,090	4/05/1994	Hed	
	A4	US- 6/075,595	6/13/2000	Malinen	
	A5	US- 2003/0117691	6/26/03	Bi et al.	
	A6	US- 2003/0235800	12/25/03	Qadar	
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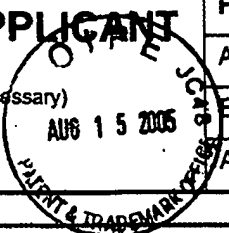
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\*Examiner: *Al: Alavi*Date Considered: *6/19/06*

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<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	<b>Application Number</b>	10/726222
	<b>Filing Date</b>	December 2, 2003
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<input checked="" type="checkbox"/>	A1	US- 6,874,910	4/05/05	Sugimoto et al.	
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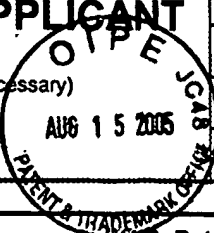
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AA	C1	Žukauskas et al, <u>Introduction to Solid-State Lighting</u> , John Wiley & Sons, Inc., New York, 2002, pp. 166-167.	
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\*Examiner: Ali Alawi

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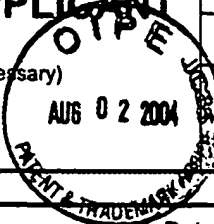
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## **Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
<input checked="" type="checkbox"/>	B1	DE	201 11 814 U1	12/20/2001			Abstract
<input type="checkbox"/>	B2	EP	1 260 196 A2	11/27/2002			Abstract
<input checked="" type="checkbox"/>	B3	JP	07240536 A	09/12/1995			Abstract

\*Examiner: Ali Alavi

Date Considered:

6/19/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified),  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 2	Application Number	10/726222
	Filing Date	December 2, 2003
	First Named Inventor	Henson, Gordon D.
	Art Unit	2828
	Examiner Name	
	Attorney Case Number	58130US004

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<input checked="" type="checkbox"/>	C1	Hsu, J.T. et al., "Design of multi-chips LED module for lighting application", <i>Solid State Lighting II, Proceedings of SPIE</i> (2002), Vol. 4776, pp. 26-33.
<input type="checkbox"/>	C2	Serial No. 10/317,734 filed 12/02/2002 as our Docket No. 57755US002 having Title - Optical fiber or Waveguide Lens
<input type="checkbox"/>	C3	Serial No. 60/430,230 filed 12/02/2002 as our Docket No. 58130US002 having Title - Illumination System Using a Plurality of Remote Light Sources
<input type="checkbox"/>	C4	Serial No. 60/443,232 filed 01/27/2003 as our Docket No. 58390US002 having Title - Methods of Making LED-excited Phosphor-based Light Sources
<input type="checkbox"/>	C5	Serial No. 60/443,235 filed 01/27/2003 as our Docket No. 58388US002 having Title - LED-excited Phosphor-based Light Sources with Front Illumination
<input type="checkbox"/>	C6	Serial No. 60/443,274 filed 01/27/2003 as our Docket No. 58389US002 having Title - LED-excited Phosphor-based Light Sources
<input type="checkbox"/>	C7	Serial No. 10/670,630 filed 09/25/2003 as our Docket No. 58385US002 having Title - Lensed Optical Fiber and Method for Making the Same
<input type="checkbox"/>	C8	Serial No. 10/726,997 filed 12/02/2003 as our Docket No. 58389US004 having Title - Phosphor Based Light Sources Having a Polymeric Long Pass Reflector
<input type="checkbox"/>	C9	Serial No. 10/726,244 filed 12/02/2003 as our Docket No. 59121US002 having Title - Reflective Light Coupler
<input type="checkbox"/>	C10	Serial No. 10/727,220 filed 12/02/2003 as our Docket No. 59333US002 having Title - Illumination Assembly
<input type="checkbox"/>	C11	Serial No. 10/726,225 filed 12/02/2003 as our Docket No. 59349US002 having Title - Solid State Light Device
<input type="checkbox"/>	C12	Serial No. 10/726,248 filed 12/02/2003 as our Docket No. 59376US002 having Title - Multiple LED Source and Method for Assembling Same
<input type="checkbox"/>	C13	Serial No. 10/727,072 filed 12/02/2003 as our Docket No. 59416US002 having Title - Phosphor Based Light Sources Having a Non-Planar Long Pass Reflector
<input type="checkbox"/>	C14	Serial No. 10/726,257 filed 12/02/2003 as our Docket No. 59432US002 having Title - LED Curing Apparatus and Method
<input checked="" type="checkbox"/>	C15	Serial No. 10/727,023 filed 12/02/2003 as our Docket No. 58390US004 having Title - Methods of Making Phosphor Based Light Sources Having an Interference Reflector

*Examiner: <u>Ali Alani</u>	Date Considered: <u>6/21/06</u>
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